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# Minimum Spanning Trees

## Chapter 23

# Spanning Tree

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- What are the edges you need to keep the graph connected?
  - If you remove any edge, the graph becomes disconnected
- Minimum Spanning Tree
  - minimize the total weight of the edges
- Problem: Minimal set of roads needed to connect cities

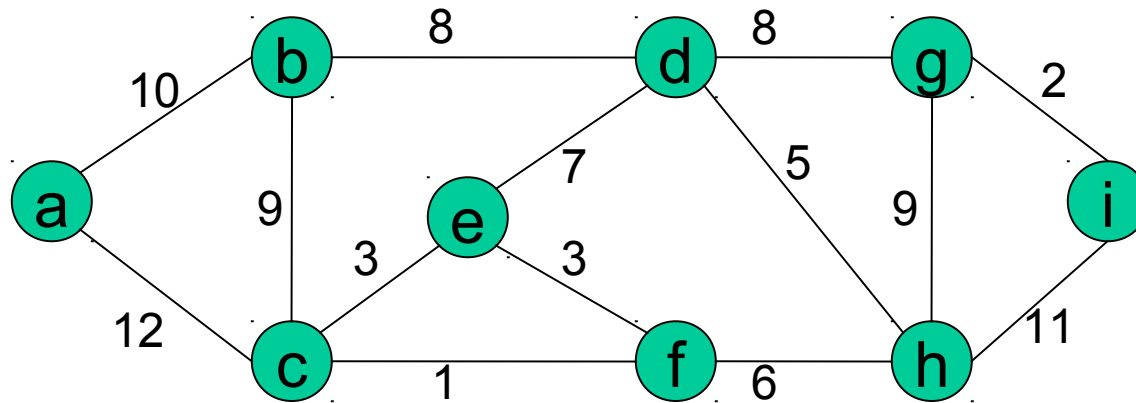
# Minimum Spanning Tree

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- Undirected graph  $G = (V, E)$ 
  - Weight  $w(u, v)$  on each edge  $(u, v)$  in  $E$
  - Find  $T$  that is a subset of  $E$  such that
    - $T$  connects all vertices, and
    - $w(T) = \sum_{(u,v) \in T} w(u, v)$  is minimized

# Minimum Spanning Tree

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# Growing an MST

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- Properties of an MST:
  
  
  
  
  
  
  
  
  
  
- Building up a Solution
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# Generic MST Algorithm

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**GENERIC-MST**( $G, w$ )

$A = \emptyset$

**while**  $A$  is not a spanning tree

    find an edge  $(u, v)$  that is safe for  $A$

$A = A \cup \{(u, v)\}$

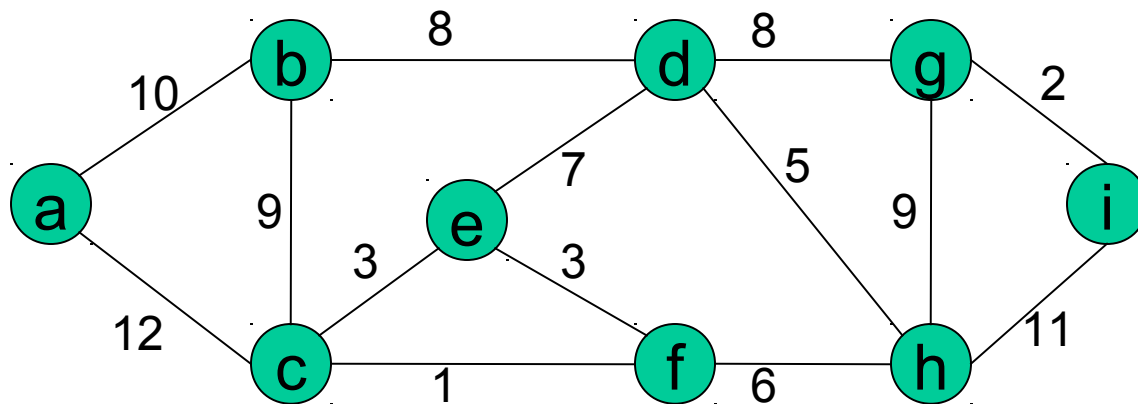
**return**  $A$

- Initialization
- Maintenance
- Termination

# Finding a Safe Edge

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- How do we find safe edges?
- Edge (c,f) - Is it safe for A?





# Finding a Safe Edge

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# Definitions

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- Let  $S$  be a subset of  $V$  and  $A$  be a subset of  $E$ 
  - A **cut**  $(S, V-S)$  is a partition of vertices into disjoint sets  $V$  and  $S-V$
  - Edge  $(u,v)$  in  $E$  **crosses** cut  $(S,V-S)$  if one endpoint is in  $S$  and the other is in  $V-S$
  - A cut **respects**  $A$  ( $A$  is a set of edges) if and only if no edge in  $A$  crosses the cut
  - An edge is a **light edge** crossing a cut if and only if its weight is minimum over all edges crossing the cut

# Theorem

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- Let  $A$  be a subset of some MST,  $(S, V-S)$  be a cut that respects  $A$ , and  $(u, v)$  be a *light edge crossing*  $(S, V-S)$ .
- Then.....

# Generic-MST

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- So, in a generic MST
  - $A$  is a *forest* (*set of trees. haha*) containing connected components.
  - Any safe edge merges two of these components into one.
  - Since an MST has exactly  $|V|-1$  edges, the for loop iterates  $|V|-1$  times.

# Kruskal's Algorithm

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- $G = (V, E)$  is a connected, undirected, weighted graph.  $w: E \rightarrow \mathbb{R}$ 
  - Starts with each vertex being its own component
  - Repeatedly merges two components into one by choosing the light edge that connects them
  - Scans the set of edges in monotonically increasing order by weight
  - Uses a disjoint-set data structure to determine whether an edge connects vertices in different components

# Kruskal( $V, E, w$ )

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**KRUSKAL**( $G, w$ )

$A = \emptyset$

**for** each vertex  $v \in G.V$

**MAKE-SET**( $v$ )

sort the edges of  $G.E$  into nondecreasing order by weight  $w$

**for** each  $(u, v)$  taken from the sorted list

**if** **FIND-SET**( $u$ )  $\neq$  **FIND-SET**( $v$ )

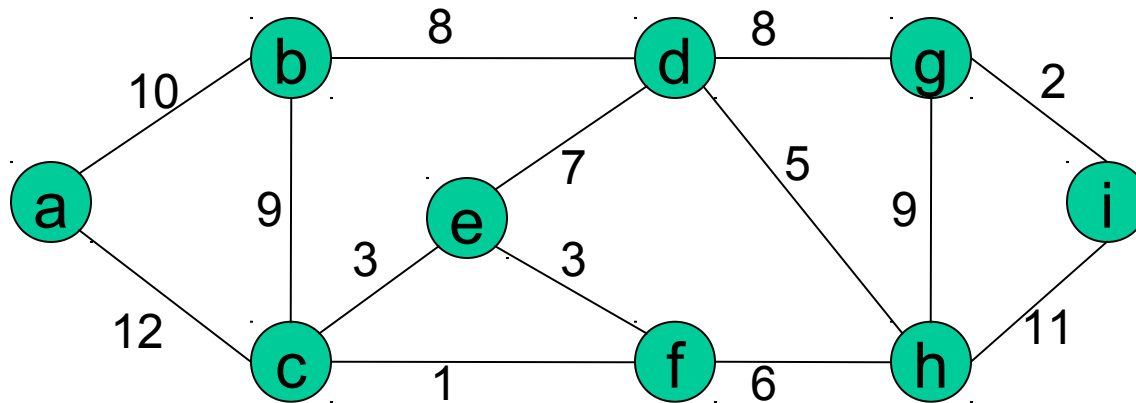
$A = A \cup \{(u, v)\}$

**UNION**( $u, v$ )

**return**  $A$

# Example

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# Prim's Algorithm

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- Builds one tree, so  $A$  is always a tree
- Starts from an arbitrary “root”  $r$
- At each step, find a light edge crossing cut  $(V_A, V - V_A)$ , where  $V_A =$  vertices that  $A$  is incident on. Add this edge to  $A$



# How to Find a Light Edge Quickly

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- Use a priority queue  $Q$ :
  - Each object is a vertex in  $V - V_A$
  - Key of  $v$  is minimum weight of any edge  $(u, v)$ , where  $u$  is in  $V_A$
  - Then the vertex returned by EXTRACT-MIN is  $v$  such that there exists  $u$  in  $V_A$  and  $(u, v)$  is a light edge crossing  $(V_A, V - V_A)$
  - Key of  $v$  is infinity if  $v$  is not adjacent to any vertices in  $V_A$

# Prim's Algorithm

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- The edges of  $A$  will form a rooted tree with root  $r$ :
  - $r$  is given as an input to the algorithm, but it can be any vertex
  - Each vertex knows its parent in the tree by the attribute  $\pi[v] = \text{parent of } v$ .  $\pi[v] = \text{NIL}$  if  $v = r$  or  $v$  has no parent

# PRIM( $G, w, r$ )

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PRIM( $G, w, r$ )

$Q = \emptyset$

**for each**  $u \in G.V$

$u.key = \infty$

$u.\pi = \text{NIL}$

    INSERT( $Q, u$ )

DECREASE-KEY( $Q, r, 0$ )      //  $r.key = 0$

**while**  $Q \neq \emptyset$

$u = \text{EXTRACT-MIN}(Q)$

**for each**  $v \in G.Adj[u]$

**if**  $v \in Q$  and  $w(u, v) < v.key$

$v.\pi = u$

            DECREASE-KEY( $Q, v, w(u, v)$ )

# Example

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