
Heapsort

Chapter 6

Review of Binary Trees

- What is a binary tree?
- What is the depth of the node?
- What is the height of a node?
- What is the height of the tree?
- What is a complete binary tree?

Facts about Perfect Binary Trees

Complete Binary Trees

- Nodes at depth h (the lowest level) are as far left as possible
- What is the relationship between the height and the number of nodes?

Heaps

- A **heap** is an complete binary tree
- Extra nodes go from left to right at the lowest level
- Where the value at each node is \geq the values at its children (if any)
- This is called the **heap property** for max-heaps
- Max or Min Heap

Example

Storing Heaps

- As arrays!
- Root of tree is:
- Parent of $A[i]$ is:
- Left child of $A[i]$ is:
- Right child of $A[i]$ is:

Example

- $n = 13$

92 85 73 81 44 59 64 13 23 36 32 18 54

Functions on Heaps

- MAX-HEAPIFY
- BUILD-MAX-HEAP
- HEAPSORT
- MAX-HEA-INSERT
- HEAP-EXTRACT-MAX
- HEAP-INCREASE-KEY
- HEAP-MAXIMUM

MAX-HEAPIFY, p 154

Max_Heapify(A, i) // A: Array, i: int

1	<code>int L = left(i)</code>
2	<code>int r = right(i)</code>
3	<code>if (L <= A.heap_size and A[L] > A[i])</code>
4	<code> largest = L</code>
5	<code>else largest = i</code>
6	<code>if (Right <= A.heap_size and A[R]> A[largest])</code>
7	<code> largest = R</code>
8	<code>if largest != i</code>
9	<code> swap (A[i], A[largest])</code>
10	<code> Max_Heapify(A, largest)</code>

Example

- 15 6 4 8 5 3 1 2 7 $i = 2$

Build_Max_Heap, p 157

Build_Max_Heap (A) // A: Array

1 | `A.heap_size = A.length`

2 | `for i = floor (A.length/2) to 1`

3 | `Max_Heapify (A, i)`

Example

- 4 3 7 13 1 20 12 16 2 18

HeapSort, p 160

HeapSort(A) // A: Array

1	<code>Build_Max_Heap(A)</code>
2	<code>for i = A.length to 2</code>
3	<code> swap(A[1], A[i])</code>
4	<code> A.heap_size = A.heap_size - 1</code>
5	<code> Max_Heapify(A, 1)</code>

Example

- 20 18 12 16 3 7 4 13 2 1

Priority Queues

- Priority Queues are an example of an application of heaps.
- A priority queue is a data structure for maintaining a set of elements, each with an associated key.

Priority Queues

- Max-priority queue supports dynamic set operations:
 - INSERT(S, x): inserts element x into set S .
 - MAXIMUM(S): returns element of S with largest key.
 - EXTRACT-MAX(S): removes and returns element S with largest key.
 - INCREASE-KEY(S, x, k): increases value of element x 's key to k . Assume $k \geq x$'s current key value.

HEAP-MAXIMUM(A)

HEAP-MAXIMUM(A)

return A[1]

Time: $\Theta(1)$.

HEAP-EXTRACT-MAX

Heap_Extract_Max(A) // A: Array

1	if A.heap_size < 1
2	error "underflow"
3	max = A[1]
4	A[1] = A[A.heap_size]
5	A.heap_size = A.heap_size - 1
6	Max_Heapify(A, 1)
7	return max

Example

- 15 6 4 8 5 3 1 2 7

Heap_Increase_Key, p 164

Heap_Increase_Key(A, i, key) // A: Array; i, key: ints

1	<code>if key < A[i]</code>
2	<code>error "new key is smaller than current key"</code>
3	<code>A[i] = key</code>
4	<code>while i > 1 and A[Parent(i)] < A[i]</code>
5	<code>swap (A[i], A[Parent(i)])</code>
6	<code>i = Parent(i)</code>

Why?

Example

- Increase key of node 6 in previous example to 20

MAX-HEAP-INSERT

- Given a key k to insert into the heap:
 - Insert a new node in the very last position in the tree with the key $-\infty$.
 - Increase the $-\infty$ key to k using the HEAP-INCREASE-KEY procedure.

Max_Heap_Insert(A, key) // A:array; key:int

1	<code>A.heap_size = A.heap_size + 1</code>
2	<code>A[A.heap_size] = -infinity</code>
3	<code>Heap_Increase_Key(A, A.heap_size, key)</code>

// could replace Heap_Increase_Key with what?

Example

- Insert 12 into the above heap.