
Minimum Spanning Trees

Chapter 23

Problem

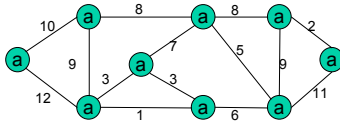
- A town has a set of houses and a set of roads
- A road connects 2 and only 2 houses
- A road connecting houses u and v has a repair cost $w(u, v)$
- Goal: Repair enough (and no more) roads such that
 - Everyone stays connected
 - Total repair cost is minimum

Minimum Spanning Tree

- Model as a graph:
 - Undirected graph $G = (V, E)$
 - Weight $w(u, v)$ on each edge (u, v) in E
 - Find T that is a subset of E such that
 - T connects all vertices, and
 - $w(T) = \sum_{(u,v) \in T} w(u,v)$ is minimized

Minimum Spanning Tree

- A spanning tree whose weight is minimum over all spanning trees is called a minimum spanning tree
- Example



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Growing an MST

- Properties of an MST:
 - It has $|V|-1$ edges
 - It has no cycles
 - It might not be unique
- Building up a Solution
 - We will build a set A of edges
 - Initially A has no edges
 - As we add edges we maintain the invariant:
 - Loop Invariant: A is a subset of MST
 - Add only edges that maintain the invariant. If A is a subset of MST, an edge (u, v) is safe for A if and only if $A \cup \{(u, v)\}$ is also a subset of some MST. So, we will add only safe edges.

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Generic MST Algorithm

- $\text{GENERIC-MST}(G, w)$

- Use loop invariant to show that this algorithm is correct

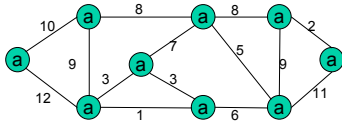
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Finding a Safe Edge

- How do we find safe edges?
- Looking at the example below, Edge (c,f) has the lowest weight of any edge in the graph. Is it safe for A?



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Finding a Safe Edge

- Intuitively: Let S , a subset of V , be any set of vertices that includes c but not f (f is in $V-S$). In any MST, there has to be one edge that connects S with $V-S$. Why not choose the edge with the minimum weight?

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Definitions

- Let S be a subset of V and A be a subset of E
 - A **cut** $(S, V-S)$ is a partition of vertices into disjoint sets V and $V-S$
 - Edge (u,v) in E **crosses** cut $(S,V-S)$ if one endpoint is in S and the other is in $V-S$
 - A cut **respects** A if and only if no edge in A crosses the cut
 - An edge is a **light edge** crossing a cut if and only if its weight is minimum over all edges crossing the cut

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Theorem

- Let A be a subset of some MST, $(S, V-S)$ be a cut that respects A , and (u, v) be a light edge crossing $(S, V-S)$.
- Then....

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Generic-MST

- So, in a generic MST
 - A is a forest containing connected components. Initially, each component is a single vertex
 - Any safe edge merges two of these components into one. Each component is a tree
 - Since an MST has exactly $|V|-1$ edges, the for loop iterates $|V|-1$ times. Equivalently, after adding $|V|-1$ safe edges, we're down to just one component

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Kruskal's Algorithm

- $G = (V, E)$ is a connected, undirected, weighted graph. $w: E \rightarrow \mathbb{R}$
 - Starts with each vertex being its own component
 - Repeatedly merges two components into one by choosing the light edge that connects them
 - Scans the set of edges in monotonically increasing order by weight
 - Uses a disjoint-set data structure to determine whether an edge connects vertices in different components

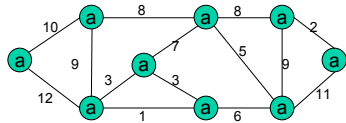
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Kruskal(V,E,w)

Example



Prim's Algorithm

- Builds one tree, so A is always a tree
- Starts from an arbitrary "root" r
- At each step, find a light edge crossing cut $(V_A, V-V_A)$, where V_A = vertices that A is incident on. Add this edge to A

How to Find a Light Edge Quickly

- Use a priority queue Q :
 - Each object is a vertex in $V - V_A$
 - Key of v is minimum weight of any edge (u, v) , where u is in V_A
 - Then the vertex returned by EXTRACT-MIN is v such that there exists u in V_A and (u, v) is a light edge crossing $(V_A, V - V_A)$
 - Key of v is infinity if v is not adjacent to any vertices in V_A

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Prim's Algorithm

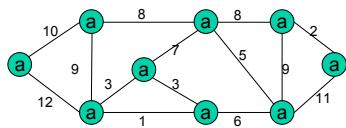
- The edges of A will form a rooted tree with root r :
 - r is given as an input to the algorithm, but it can be any vertex
 - Each vertex knows its parent in the tree by the attribute $\pi[v] = \text{parent of } v$. $\pi[v] = \text{NIL}$ if $v = r$ or v has no parent

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PRIM(V, E, w, r)



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Example
